



“Who To Turn To”

Research Assurance & Risk Management at UTHSC-H

Peter J.A. Davies, MD, PhD

Executive Vice President for Research



THE UNIVERSITY of TEXAS
HEALTH SCIENCE CENTER AT HOUSTON

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Protection of Human Research Subjects

Committee for the Protection of Human Subjects (CPHS)

Area of Assurance: That the university and its researchers conduct an ethical and responsible program in the area of human subjects research

Services:

The Committee for the Protection of Human Subjects (CPHS); the IRB for UTHSC-H, Memorial Hermann Hospital System and Harris County Hospital District provides for the review, approval and monitoring of all research carried out by UTHSC-H faculty, staff and students that involves human subjects and/or human-derived materials (except established cell lines)

iRIS - Electronic IRB Information Management System for preparation, submission, management and review of all human subjects research protocols and associated information and documentation.

Contact Information

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www.uth.tmc.edu/orsc/irb/index

iRIS Website
www.uth.tmc.edu/orsc/iRIS.html



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Care and Use of Research Animals

Animal Welfare Committee (AWC)

Area of Assurance: That the university and its researchers conduct an ethical and responsible program in the use of animals in research

Services:

The Animal Welfare Committee (the AWC), the IACUC for UTHSC-H provides for the review, approval and monitoring of all research carried out by UTHSC-H faculty, staff and students that involves the use of animals in research.

The AWC and the Center for Laboratory Animal Medicine and Care (CLAMC) provide a series of training courses in Laboratory Animal Research

Contact Information

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Research Misconduct

Area of Assurance: That the university and its researchers adhere to the highest standards of honesty and ethical behavior in the conduct of research.

Services:

The HSC has in place policies and procedures to address issues related to :

- Fabrication of data and results
- Falsification of research
- Plagiarism and the appropriation of the ideas, results or words without appropriate attribution.

These policies are detailed in HOOP 23.04

Contact Information
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**Deans of the HSC
Schools**

Conflict of Interest in Research

Research Conflict of Interest Committee (COI)

Area of Assurance: That the university and its researchers insure that all research conducted under the auspices of the university will not be swayed by real or potential conflicts of interest on the part of the institution or its faculty. While this assurance pertains to all aspects of research it is particularly stringent in the conduct of research involving human subjects

Services:

The Research Conflict of Interest Committee (RCOI) reviews all research that includes a potential or real conflict of interest on the part of the researcher or the institution. The RCOI Committee evaluates whether the potential for a conflict of interest exists and if it does, recommends to the PI and the Institution the steps that need to be taken to manage that conflict.

Contact Information

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http://www.uth.tmc.edu/rcoi/coi_committee.htm



Dr. Frank Arnett

Chair, RCOI Committee

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Dr. Melissa Proll

**Director, Research Training &
Compliance**

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Environmental Health and Safety - Radiation and Radioactive Materials

Radiation Safety Committee

Area of Assurance: That the university and its researchers manage the acquisition, use and disposal of radioactive materials and radiation sources in a safe and responsible manner

Services:

The Radiation Safety Committee reviews all research protocols that include the use of sources of radioactive materials.

The Radiation Safety Program assists the Radiation Safety Committee, performing routine laboratory inspections, providing personnel, area and environmental monitoring, and responding to emergency situations.

Contact Information
Cyclotron Bldg. G102
(713) 500-5840
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Dr. Andrew Bean
Chair: Radiation Safety Committee
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Ms. Janet Mc Crary
Radiation Safety Manager
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Environmental Health and Safety - Chemical Safety

Chemical Safety Committee

Area of Assurance: That the university and its researchers manage the acquisition, use and disposal of hazardous chemicals in a safe and responsible manner

Services:

The Chemical Safety Committee reviews all research protocols that include the use of designated hazardous chemicals.

The Chemical Safety Program assists the Chemical Safety Committee, performing routine laboratory inspections, providing personnel, area and environmental monitoring, and responding to emergency situations.

Contact Information

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Environmental Health and Safety -Biological Safety

Biological Safety Committee

Area of Assurance: That the university and its researchers manage the acquisition, use and disposal of hazardous biological agents and reagents in a safe and responsible manner

Services:

The Institutional BioSafety Committee reviews all research protocols that include the use of potentially infectious agents and rDNA.

The Biologic Safety Program assists the Institutional BioSafety Committee, performing laboratory inspections, supporting the handling and disposal of hazardous biological material, providing personnel, area and environmental monitoring, and responding to emergency situations.

Contact Information

DBB 3008

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uth.tmc.edu/safety/biological_safety.html



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EXPORT and ITAR Controls

Area of Assurance: That the university and its researchers avoid transferring to foreign nations and foreign nationals information, technology and equipment deemed to be “restricted” in its distribution.

Services:

- Under development

Contact Information

Office of Research:

UCT 1010

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Ms. Johnna Kincaid

Executive Dir Sponsored Projects

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Research Contracts and Grants Administration - PRE-AWARD Office of Sponsored Projects (OSP)

Area of Accountability: That the university and its researchers will submit to sponsors true, complete and accurate information with regards to all aspects of the application. Particular emphasis is placed on an accurate calculation of :

- Institutional Base Salary for faculty
- Inclusion of all compensation and support in “Other Support”

Services:

The Office of Sponsored Projects (OSP) works with PI's to insure:

- Contract and grant applications meet agency and institutional guidelines
- Salary information included in the application are accurate
- Budgets include only allowable expenses
- Cost-sharing is specified and approved
- Indirect cost are accurately calculated
- Institutional assurances related to Conflict of Interest, Human Subjects, Animals Research and Environmental Health and Safety are complete

Contact Information
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Research Contracts and Grants Administration - POST-AWARD Finance

Post-Award Finance Team (PAF)

Area of Accountability: That the university and its researchers will expend grant and contract funds in accord with the terms of the award . Particular emphasis is placed on:

- Determination of the “Allowability” of Expenditures
- Sub-recipient Monitoring (Sub-contracts)
- Preparation and submission of Final Reports

Services:

The Post-Award Finance Team (PAF) works with PI’s to insure:

- HSC expenditures of contract and grant funds are “allowable” under agency and institutional guidelines
- Research contractors and sub-contractors (sub-recipients) meet agency and award guidelines with respect to expenditures of funds
- Renewal applications and final reports are prepared and submitted in a timely manner

Contact Information

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Research Contracts and Grants Administration - POST-AWARD / Effort Reporting Financial Administration Support Team (FAS)

Area of Accountability: That the university and its researchers will accurately report the time and effort allocated by faculty and staff to individual research projects. Expenditures of salary funds from contracts and grants will not exceed the time and effort allocated to that source.

Services:

The Financial Administration Support Team (FAS) works with PI's to insure:

- Filing of “after-the-fact” effort reports (semi-annual) for faculty and staff that accurately report effort allocated to and salary funds expended from individual contracts and grants. (Non-exempt staff effort reports are monthly)
- Certification of accuracy of effort reports by **Principal Investigators**

Contact Information

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**Research Compliance
Designated Responsible Party
“The buck stops here!”**



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Goal: To support the research activities of UTHSC-H and its researchers by providing a safe, ethical and responsible environment for the conduct of research

Institutional Compliance

**Director Institutional
Compliance**



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**Chief Legal and
Compliance Officer**



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**Chair Institutional
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President UTHSC-H**



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